

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

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PATENT APPLICATION

ATTORNEY DOCKET NO. 100110474-2



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J. Gallagher et al.

Confirmation No.: 9510

Application No.: 09/995,318

Examiner: Not Assigned

Filing Date: 11/26/01

Group Art Unit: Same

Title: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA  
COMPUTATION AND ANALYSIS

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 12/14/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$740.00 ( ) Design \$330.00

( ) Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

(X) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month \$110.00

(X) two months \$400.00

( ) three months \$920.00

( ) four months \$1440.00

Please charge to Deposit Account 08-2025 the sum of \$530.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Robert J. Gallagher et al.

By

Grant E. Pollack, Esq.

Attorney/Agent for Applicant(s)  
Reg. No. 34,097

Date of Deposit: 04/12/02

Typed Name: Grant E. Pollack

Signature:

Date: 04/12/02

Telephone No.: (212) 768-3800



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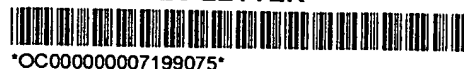
UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,318	11/26/2001	Robert J. Gallagher	100110474

CONFIRMATION NO. 9510

**FORMALITIES LETTER**



\*OC000000007199075\*

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

Date Mailed: 12/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

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*A copy of this notice **MUST** be returned with the reply.*

Ted to A  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE